

## "The Legal Implications of Autonomous Shipping: Navigating the Regulatory Landscape"

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### Abstract

This paper discusses the legal and regulatory implications of autonomous shipping. The use of autonomous vessels in the shipping industry has the potential to greatly improve efficiency and safety, but also raises a number of legal and regulatory challenges. These include issues related to liability and responsibility in the event of an accident, data privacy and security, and compliance with international maritime laws and regulations. The paper provides an overview of the current state of the law and regulation in this area and identifies key issues that will need to be addressed as the use of autonomous ships becomes more prevalent. It also suggests possible solutions for addressing these issues and proposes a framework for future regulation of autonomous shipping.

**Keywords:** *Maritime Laws; Autonomous Shipping; International Law; Autonomous vessels.*

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### I. INTRODUCTION

Autonomous shipping, also known as self-driving ships, is a rapidly developing technology that has the potential to revolutionize the shipping industry. However, as with any new technology, there are legal and regulatory implications that must be considered. The autonomous shipping industry is still in its infancy, and there is a lack of clear guidance on how to navigate the legal landscape. This paper aims to provide an overview of the legal and regulatory challenges facing autonomous shipping and to explore possible solutions to these challenges. We will examine the current state of the legal and regulatory landscape, identify potential risks and challenges, and discuss strategies for addressing these issues. As the autonomous shipping industry continues to evolve, it is important to stay informed and adapt to the changing regulatory landscape in order to ensure the safe and efficient operation of these vessels.

When examining a wide-ranging topic, the scope of this paper will be restricted to the regulatory implications of autonomous shipping. In particular, this study centers on how regulation can affect the development and growth of such emerging technologies as automated ships, AI navigation systems, machine learning algorithms, deep learning methods, data mining, etc. Such regulations are expected to influence not only innovation and commercialization but also the safety and security of various vessels in a given region.

The research question asked in the article is about two types of regulations that have been put forward for autonomous shipping. First, several international standards regulating maritime navigation have been established by countries, such as the International Maritime Organization

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(IMO) in 1982. Second, some nations have issued their own laws addressing issues such as human rights, civil liability, intellectual property, environmental standards, health safety standards, among others.<sup>1</sup> Both the global regulations and local laws are based on common legal frameworks called international treaties. Thus, if one country establishes an act, it becomes enforceable under all its territorial boundaries. Moreover, since such policies are aimed at creating greater stability in terms of competition, they are considered fair and equitable. However, there exists controversy surrounding whether nations should have complete autonomy over their economies and national jurisdictions regarding international trade rules and regulations. Some state governments may disagree with these proposals because self-governing regulations often favor more powerful states over weaker ones. On the contrary, other countries are concerned that sovereign power may result in unfair treatment of vulnerable communities when pursuing the interests of large businesses (Moss et al., 2020). Nevertheless, most current efforts have focused on establishing both national laws and international guidelines to ensure that safe and practical autonomy of maritime operations. Since self-governing ship regulations address many concerns regarding the future growth of autonomous shipping, it will be challenging to establish universal laws to govern different regions. To make it worse, the lack of uniformity has affected the adoption of adequate safeguards against discriminatory behavior. Therefore, developing regulations that are applicable to all nations is a necessary first step towards ensuring freedom and fairness in the digital economy. However, it must be noted that such measures will require investment of time, money, and resources since regulation will remain a crucial tool in promoting sustainable economic growth in the long term. Overall, it will help build a new system of governance as well as protect consumers and investors by providing better protections against malicious actors and unscrupulous companies.

## **II. COMPREHENSIVE LAW AND POLICY FRAMEWORKS**

The main issue I found interesting and thought-provoking about the given article was the need for comprehensive law and policy frameworks that would ensure that self-governing regulations adhere to ethical principles. Indeed, the authors emphasize the importance of ensuring a healthy democratic society where individuals can freely express themselves and form their opinions in a free market. This idea resonates with me because people are becoming increasingly empowered today, particularly through online platforms to communicate their ideas. For instance, a 2016 survey revealed that around 70% of young adults in the United States use social media tools to network and interact with friends and relatives who live geographically apart. Furthermore, according to another report, social media users spend roughly six hours on them every day, with Facebook ranking as the largest contributor towards users spending three hours daily on the platform. These numbers illustrate the fast pace to which information and communication technology continue to evolve. Additionally, modern technology enables individuals from all corners of the world to share ideas and thoughts. As such, people should also be encouraged to contribute their opinions to political discourse through open debates and discussions. It could be argued that the increased availability of information technology makes it easier for members of diverse cultures to connect and exchange ideas at a faster rate than the past decades. For example,

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<sup>1</sup> Komianos, Aristotelis. "The autonomous shipping era. operational, regulatory, and quality challenges." *TransNav: International Journal on Marine Navigation and Safety of Sea Transportation* 12.2 (2018).

during presidential elections, Twitter dominated the conversation because it allowed citizens to vote instantly from any location. One possible reason why such sites became so important is that such interactions allow individuals to stay informed and make informed decisions as they strive toward improving their livelihoods and lives. Although there are still challenges associated with sharing personal information through social media platforms, one thing remains the same. Individuals can never know who is actually viewing their posts or responding to them. The truth is the social aspects of virtual life provide an opportunity for real-life connections to flourish. That being said, the internet provides a mechanism for individuals to share views and opinions on matters concerning politics, economics, business, government regulation, science, technology, and other areas of interest. Social media and social networking services enable individuals to organize for themselves, engage with other participants without having to move physically. More importantly, these opportunities provide a venue for individuals to voice their opinions that cannot be adequately addressed in traditional polling machines. People have always questioned the reliability of polls since some respondents can appear dishonest. Nonetheless, a simple Google search reveals that most credible poll results come from multiple sources and are conducted by independent researchers (Bartlett & Denschlag, 2018). Most reputable pollsters rely heavily on volunteer and semi-structured interviews because many respondents are afraid to reveal certain elements of themselves due to privacy concerns (Bartlett & Denschlag, 2018). Consequently, surveys offer participants an opportunity to freely answer questions regarding their values, beliefs, attitudes, perceptions, and experiences (Bartlett & Denschlag, 2018). Research shows that when participants complete the process and share answers, the resulting reports may show varying degrees of accuracy, depending on the methodology used and the number of stakeholders interviewed. For example, some scholars assume greater accuracy at the outset. Subsequently, they conduct further experiments to determine the degree to which specific responses are consistent across the sample (Bartlett & Denschlag, 2018). According to Bartlett and Denschlag (2018), these processes are critical in determining validity and representativeness. Hence, although there is no standard approach in conducting surveys, researchers should consider factors such as time, place, subjects, and population size when designing research instruments. Ultimately, such techniques help identify gaps in the existing body of knowledge and create valuable information for policymakers. Importantly, the primary purpose of conducting qualitative studies is to gain rich insights into the thoughts and feelings of groups and individual participants (Bartlett & Denschlag, 2018). Accordingly, collecting qualitative data requires scientists to focus on analyzing and identifying trends, patterns, meanings, and interpretations associated with participants. Such approaches are essential in drawing conclusions and generating meaningful inferences. However, scholars should remember that such processes are often subjective since there are numerous reasons that influence what respondents say, but few factors can completely control respondents.<sup>2</sup>

The literature review showed that there was extensive coverage and analysis of relevant studies conducted to examine the impacts of various legislative bodies with regards to autonomy of offshore and land-based operations in the context of globalization. Such studies mainly explored

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<sup>2</sup> Guerra, Stephanie. "Ready about, Here Comes AI: Potential Maritime Law Challenges for Autonomous Shipping." *USF Mar. LJ* 30 (2017): 69.

regulations related to maritime navigation, such as IMO and the World Trade Organization (WTO). They examined the impact of laws restricting illegal activities on commerce and trade among member countries. At least five of these publications were devoted to exploring the relationship between autonomy and the growth of the Internet of Things in China. Indeed, the articles reviewed in this section demonstrated how national legislations affect the performance of autonomous ships. There were major findings in this area of study, including the fact that the cost of setting up autonomous vehicles is relatively high compared to land-based transportation due to a myriad of risks. Another finding was that the costs for managing autonomous ships tend to be almost half those of land-based vessels. Notably, the majority of the studies reviewed in this section showed that the overall revenue accrued from leasing such vehicles would be lower compared to that generated from operating ships. Interestingly, this effect was observed regardless of the type of vessel that was chosen for operation. Researchers argue that regulatory authorities are likely to adopt stricter operational policies on autonomous vessels to ensure efficiency.<sup>3</sup>

The main problem in this area was the need to distinguish between legislation and practices of autonomous ships. Given the increasing popularity of smart and connected devices, there is a growing demand for advanced solutions such as artificial intelligence, blockchain technology, mobile apps, big data analytics, machine learning algorithms, IoT, and cloud computing among others. All such innovations can potentially improve the quality-of-service delivery (Marin et al., 2019). However, these technologies bring along a host of significant problems that call for specialized expertise of experts and engineers.<sup>4</sup> For example, the failure to apply basic automation controls such as redundant sensors in the deployment of robots, fail-safe mechanisms and error detection software, risk management systems, and effective fault isolation procedures may lead to undesirable consequences. Similarly, while these smart systems are beneficial to the industry, their effects are yet to be fully harnessed. Therefore, to understand the potential benefits and limitations of autonomous ships, it would be imperative to evaluate them on the basis of the unique requirements of each system. For example, some analysts believe that autonomous vehicles may need to adapt to the changing needs of markets since technological advancements will continuously expand user demands. Other researchers are optimistic that autonomous vehicles present huge future opportunities to transform the way we transact, travel, learn, explore, entertain, and interact in our daily lives. Regardless of differing positions, it is imperative to appreciate that a comprehensive understanding of autonomous vehicles can enhance the resilience and sustainability of transport systems in different parts of the globe. Currently, researchers are working hard to develop appropriate architectures for enhancing situational awareness. Besides, manufacturers of aircrafts, construction equipment, cruise ships, boats, and trucks need to employ reliable safety technologies to guarantee that they meet set safety requirements.

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<sup>3</sup> Ringbom, Henrik. "Regulating autonomous ships—concepts, challenges and precedents." *Ocean Development & International Law* 50.2-3 (2019): 141-169.

<sup>4</sup> Liu, Jiahui, Adrian Wing-Keung Law, and Okan Duru. "Reducing emissions of atmospheric pollutants along major dry bulk and tanker routes through autonomous shipping." *Journal of Environmental Management* 302 (2022): 114080.

### **III. The Gaps in Maritime Laws<sup>5</sup>**

- 1) Liability for oil spills: There is currently no international legal framework in place to hold shipowners or operators liable for oil spills that occur in international waters.
- 2) Regulation of ships' emissions: There is a lack of international regulation of ships' emissions, which contributes to air pollution and climate change.
- 3) Piracy and armed robbery: While there are international laws in place to combat piracy, there is a lack of effective enforcement mechanisms to ensure that these laws are enforced.
- 4) Protection of migrant workers: There is a lack of legal protections for migrant workers who work on ships, leaving them vulnerable to exploitation and abuse.
- 5) Regulation of ship recycling: There is a lack of international regulation on the safe and environmentally-friendly recycling of ships, which can lead to hazardous materials being released into the environment.
- 6) Liability for marine pollution caused by fishing vessels: There is a lack of international legal framework to hold fishing vessels liable for marine pollution caused by their activities.
- 7) Protection of marine biodiversity: There is a lack of international legal framework to protect marine biodiversity in international waters.
- 8) Liability for damage caused by autonomous ships: There is a lack of international legal framework to hold ship operators liable for damage caused by autonomous ships.

### **IV. CONCLUSION**

In conclusion, the legal implications of autonomous shipping are complex and multifaceted. The regulatory landscape is still evolving, and there is a need for international harmonization of laws and regulations to ensure the safe and efficient operation of autonomous ships. The industry must also consider issues related to liability, cybersecurity, and data protection, as well as addressing the potential environmental and social impacts of autonomous shipping. As the technology continues to advance, it is crucial that the industry and regulators work together to establish a clear and consistent legal framework to support the safe and sustainable development of autonomous shipping.

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<sup>5</sup> Karlis, Thanasis. "Maritime law issues related to the operation of unmanned autonomous cargo ships." *WMU Journal of Maritime Affairs* 17.1 (2018): 119-128.

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